# **Interaction of a CO molecule with a Pt monatomic wire: Electronic structure and ballistic conductance**

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We carry out a first-principles density-functional study of the interaction between a monatomic Pt wire and a CO molecule, comparing the energies of different adsorption configurations (bridge, on top, substitutional, and tilted bridge) and discussing the effects of spin-orbit (SO) coupling on the electronic structure and on the ballistic conductance of two of these systems (bridge and substitutional). We find that when the wire is unstrained, the bridge configuration is energetically favored, while the substitutional geometry becomes possible only after the breaking of the Pt-Pt bond next to CO. The interaction can be described by a donation/ backdonation process similar to that occurring when CO adsorbs on transition-metal surfaces, a picture which remains valid also in the presence of SO coupling. The ballistic conductance of the (tipless) nanowire is not much reduced by the adsorption of the molecule on the bridge and on-top sites. However, it shows a significant drop in the substitutional case. The differences in the electronic structure due to the SO coupling influence the transmission only at energies far away from the Fermi level so that fully and scalar-relativistic conductances do not differ significantly.

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## **I. INTRODUCTION**

Metallic nanocontacts and nanowires are routinely fabricated by scanning tunneling microscopy (STM) or mechanically controllable break junctions  $(MCBJs)^1$  $(MCBJs)^1$ . The size of these contacts can be as small as a single atom, so that electron transport through them is ballistic and quantized. The current is carried by quantum channels where electrons are partly transmitted and partly reflected. If perfectly transmitting, each (spin-degenerate) channel contributes to the conductance with one quantum unit  $G_0 = 2e^2/h$ . A massive contact of section area *A* encompasses a channel number *NA* and a conductance proportional to  $NG_0$ .<sup>[1,](#page-9-0)[2](#page-9-1)</sup>

Experimentally, one observes that when the contact ends are pulled apart, the conductance decreases stepwise, showing plateaus followed by sudden jumps. In general, the values of the conductance plateaus depend on the detailed and uncontrolled contact geometry and electronic configuration. However, averaging over many configurations makes it possible to plot conductance histograms, which display peaks at the most frequent plateau values characteristic of the nanocontact of that particular metal.<sup>1</sup> The lowest conductance peak is generally of order  $G_0 = 2e^2/h$ . Thus it is attributable to a monatomic contact in the likeliest geometry preceding breaking.

Some metals such as gold, platinum, and iridium display a tendency to form ultimately thin nanocontacts consisting of short tip-suspended monatomic chains, which have also been visualized by transmission electron microscop[y3](#page-9-2)[–5](#page-9-3) and/or identified by the presence of very long plateaus in the conductance traces versus pulling distance. $6,7$  $6,7$  In these metals the lowest conductance histogram peak(s) thus may generally correspond either to a chain or to a single atom contact. In Au the peak is found at about  $1G_0$ ,<sup>[1,](#page-9-0)[3](#page-9-2)[,6](#page-10-0)</sup> corresponding to a

single (spin-degenerate) 6s channel. In Pt, where in addition to 6*s* electrons 5*d* electrons contribute to the conductance, the peak is usually found between  $1.5G_0$  and  $2.0G_0$ .<sup>[5,](#page-9-3)[7–](#page-10-1)[11](#page-10-2)</sup>

It has long been recognized that the adsorption of small gas molecules on the nanowire or very near the nanocontact may change substantially the conductance of the system and thus the position of the peaks in the conductance histograms. For instance, in Pt nanocontacts the presence of  $H_2$  causes the suppression of the peak at  $1.5G_0$  and the formation of new peaks at  $1G_0$  and  $0.1G_0$ .<sup>[10](#page-10-3)[,12,](#page-9-2)[13](#page-10-4)</sup> In the presence of CO instead, the lowest conductance peak is replaced by two new peaks, a major one just above  $1G_0$  and a smaller one at  $0.5G_0$ .<sup>[8](#page-10-5)[,9](#page-10-6)</sup>

Such adsorption-induced modifications are usually rationalized by guessing a few possible adsorption geometries and calculating, via density-functional theory, their Landauer-Büttiker ballistic conductance.<sup>14</sup> In some cases the structural assignment is further supported by comparison of the calculated and the measured frequencies of the molecular vibrational modes. For instance, in the case of  $H_2$  the peak at  $1G<sub>0</sub>$  could be attributed to a molecular configuration bridging linearly the two Pt tips, $12$  while in the case of CO it was shown theoretically<sup>15</sup> that a conductance value of  $0.5G_0$  may be caused by a "tilted bridge" configuration of the CO molecule between two Pt contact atoms. In this case however, the peak at  $1G_0$  is still unexplained; besides, several other questions remain open, calling for further theoretical investigations. To begin with, it remains to be clarified what is the electronic mechanism that binds a CO molecule to a monatomic wire. Experimentally, it has been suggested that the Blyholder model, $16$  often used to explain the adsorption of CO on transition-metal surfaces $17,18$  $17,18$  in analogy with metalcarbonyl systems, $19$  could explain the relative strength of CO absorption on Cu, Ni, Pt, and Au nanocontacts[.9](#page-10-6) However, *ab*

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FIG. 1. (Color online) Schematic representations of the bridge (a) and substitutional (b) geometries with the indication of the distances that are allowed to vary during the geometrical optimization.

*initio* calculations proving this point are still lacking. Moreover, in the case of heavy atomic species such as Pt and Au, it is known that spin-orbit (SO) effects will change the electronic structure of nanowires $20,21$  $20,21$  (for instance, altering the nature and number of conducting channels or leading a Pt nanowire to become magnetic at distances much shorter than those predicted without the explicit inclusion of SO coupling<sup>20</sup>). However, it is still unclear how these effects would reflect on the chemical binding of a molecule such as CO to the nanocontact or on the ballistic conductance of the system.

In order to address some of these points, we will study, via *ab initio* density-functional theory (DFT), a simplified model: a monatomic chain of Pt atoms with one CO molecule adsorbed on it. We consider a few possible adsorption geometries and discuss their electronic structures and ballistic conductances (in the absence of tips). The role of SO coupling is investigated by comparing the results obtained by scalar-relativistic (SR) and fully relativistic (FR) pseudopotentials  $(PPs)$ ,<sup>[22](#page-10-15)</sup> the latter including the SO coupling. Magnetic effects, which also could play a role in this system, $^{23}$ are not addressed here and will be the subject of future work, along with the additional effects caused by the tips.

We find that when the wire is unstrained and the nanowire atoms are kept fixed at their theoretical equilibrium distance, the adsorption of CO in the bridge site (see Fig.  $1$ ) is energetically favored with respect to absorption on the on-top site. The energy of a configuration where CO is joining two Pt atoms (substitutional configuration) is much higher since the energy cost of breaking the Pt-Pt bond is large. Hence, this configuration could possibly appear only when the Pt-Pt bond close to CO is highly strained and almost broken. At intermediate strains a tilted bridge configuration as proposed in Refs. [15](#page-10-8) and [24](#page-10-17) could moreover be energetically favorable. In this work we will focus on the upright bridge and substitutional configurations only, two examples with completely different symmetry properties. Only occasional data on the structure and energetics of the on-top and tilted bridge geometries will be reported for comparison. More results on the on-top configuration are given in Refs. [25](#page-10-18) and [26.](#page-10-19)

Our results confirm that the Blyholder model<sup>16</sup> explains quite well the electronic structures of the bridge and on-top geometries. In this model both the highest occupied molecular orbital (HOMO) ( $5\sigma$ ) and the lowest unoccupied molecular orbital (LUMO)  $(2\pi^*)$  of the molecule form bondingantibonding pairs with the Pt states, giving rise to the socalled donation/backdonation process. [Part of the  $5\sigma$  density

of states (DOS) moves above the Fermi energy  $E_F$ , while part of the  $2\pi^*$  DOS moves below  $E_F$ . In the presence of SO coupling this picture retains its validity. The states involved in the donation/backdonation process are still identifiable and are in fact found to be energetically nearly at the same position as in the SR case. Similar conclusions are reached in the substitutional case, although some features are different from the bridge and top cases.

The ballistic conductance of our (tipless) system, where the CO adsorbate acts as the scatterer, is found to depend on geometry but is not substantially modified by the introduction of SO coupling. The theoretical SR (FR) conductance is  $3.3G_0$   $(3.2G_0)$  in the bridge configuration, only slightly reduced in comparison to that of the perfect Pt nanowire (whose theoretical value is  $4G_0$ ; see Ref. [21](#page-10-14)). In the substitutional geometry we find instead a conductance of  $1.1G_0$  $(0.9G<sub>0</sub>)$ , thus much smaller than that of the pristine nanowire. Although tipless results are clearly not directly comparable with experiments, they are consistent with the calculations of Strange *et al.*, [15](#page-10-8) which show a large reduction in conductance when the CO passes from the upright bridge to the tilted bridge configuration.

This paper is organized as follows: In Sec. II we briefly describe the methods and some technical details. In Sec. III we discuss the geometry and energetics of the system, while in Sec. IV we describe the electronic structures of the bridge and substitutional configurations (Secs. IV A and IV B, respectively), reporting both the SR and the FR results. The ballistic conductance and the energy-dependent transmission are presented in Sec. V. Finally, in Sec. VI we will draw some conclusions.

## **II. METHOD**

We performed our calculations in the standard framework of DFT (Ref.  $27$ ) using the QUANTUM-ESPRESSO package.<sup>28</sup> We used the local-density approximation<sup>29</sup> (LDA), with the Perdew and Zunger $30$  parametrization of exchange and correlation energy. In addition, optimized geometries and adsorption energies have been also calculated within the generalized gradient approximation (GGA), using the functional proposed by Perdew, Burke, and Ernzerhof<sup>31</sup> (PBE).

Nuclei and core electrons have been described by the Vanderbilt ultrasoft pseudopotentials<sup>32</sup> (US-PPs), in their SR and FR forms. The latter, which include SO coupling effects, are used within a two-component spinor wave-function scheme as described in Ref. [22.](#page-10-15) LDA PPs of Pt are reported in Ref. [33,](#page-10-26) while both for C and for O we generated US-PPs[.34](#page-10-27) Within GGA we generated SR US-PPs for all the atoms needed for this work. $35$  The Kohn-Sham (KS) orbitals are expanded in a plane-wave basis set with a kinetic-energy cutoff of 29 Ry  $(32 \text{ Ry})$  in the SR(FR)-LDA case, while a cutoff of 300 Ry has been used for the charge density. In GGA calculations (which have been performed only in the SR case), we used cutoffs of 32 and 320 Ry. The orbital occupations are broadened using the smearing technique of Ref. [36](#page-10-29) with a smearing parameter  $\sigma = 0.01$  Ry.

The infinite nanowire has been simulated in a tetragonal cell, with the wire axis along the *z* direction. The size of the cell along *x* and *y* is  $d_1 = 18$  a.u.  $\approx 9.5$  Å, so that the interaction energy between the periodic replicas of the wire is far below 1 mRy. The chemisorption energies  $E_{\text{chem}}$  (see Sec. III) have been calculated with the same  $d_{\perp}$  and the estimated numerical error is less than a tenth of an eV. The nanowire with one adsorbed CO molecule has been simulated by  $N_{\text{Pr}}$ platinum atoms inside a tetragonal supercell, as shown in Fig. [1](#page-1-0) for the bridge and substitutional geometries. A similar supercell has been used also for the on-top and tilted bridge geometries. We checked that the chemisorption energies and optimized distances (reported in Sec. III) are at convergence with  $N_{\text{Pt}}= 15$ , while for the projected density of states (PDOS; presented in Sec. IV) we used many more Pt atoms in the supercell. In order to reduce the effects of the periodic CO replicas on the bands, we used  $N_{\text{Pt}}= 50$  for the FR PDOS and up to  $N_{\text{Pt}}$ = 105 in the SR case. The Brillouin zone (BZ) has been sampled with a uniform mesh of *k* points along the *z* direction and the  $\Gamma$  point in the perpendicular directions. In the total-energy calculations we used 91 *k* points for the clean wire (more than enough to ensure a convergence within 1 mRy for the total energy), while we reduced the number of  $k$  points of the supercell according to  $N_{\text{Pr}}$ . The PDOSs have been calculated employing a uniform mesh of  $(1050/N_{\text{Pt}})$  *k* points, since the size of the BZ reduces linearly with  $N_{\text{Pt}}$ .

The ballistic conductance has been calculated within LDA, in the Landauer-Büttiker formalism, evaluating the total transmission at the Fermi energy. The transmission as a function of energy has been obtained using the method proposed by Choi and Ihm,<sup>37</sup> recently extended to the US-PP scheme, both in the SR case<sup>38</sup> and in the FR case<sup>21</sup> and implemented in the PWCOND code (included in the QUANTUM-ESPRESSO package). Since the complex bandstructure calculation (needed to compute the transmission) is much less sensitive to the periodic replica effects (see Sec. V for more details), we used 17 Pt atoms in the supercell to calculate the ballistic conductance.<sup>39</sup>

## **III. GEOMETRY AND ENERGETICS**

We partially optimized the geometry of the system (see Fig. [1](#page-1-0)) by moving only the C and O atoms<sup>40</sup> while keeping the Pt atoms fixed on the *z* axis. In the bridge and substitutional configurations, this optimization has been repeated for different values of the distance  $(d_{\text{Pt-Pt}})$  between the two Pt atoms next to CO, while all the other Pt atoms are at the theoretical equilibrium distance in the isolated chain  $(d_{\text{chain}})$  $= 2.34$  Å with LDA;  $d_{\text{chain}} = 2.39$  Å with GGA). In the ontop case, only the configuration with all Pt atoms at the theoretical equilibrium distance has been considered. The GGA total energy of the optimized configurations is shown as a function of  $d_{\text{Pt-Pt}}$  in Fig. [2.](#page-2-0) The zero of the energy has been chosen as the sum of the energies of the isolated CO and of the isolated Pt chain at equilibrium distance (i.e., without broken bonds). In the same plot we report also the sum of the energies of the isolated CO and of a Pt chain with one bond stretched to  $d_{\text{Pt-Pt}}$ .

[I](#page-3-0)n Table I we report the chemisorption energies  $E_{\text{chem}}$  and geometrical parameters calculated for three selected configu-

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FIG. 2. Optimized energies (with respect to the C and O positions) for different geometries as a function of the Pt-Pt distance *d*<sub>Pt−Pt</sub> (see text). In the bridge (subs) geometry, indicated by the solid (dashed) line, the C and O atoms are constrained to be on the  $x(z)$  axis, while in the tilted geometry (dotted line) they can move on the whole  $xz$  plane. In the subs-carbon  $(-oxygen)$  the molecule is attached to the Pt atom with the  $C(0)$  atom. The optimized energy of the top geometry (only at  $d_{Pt-Pt} = d_{chain}$ ) is indicated by a horizontal thick line. The zero of the energy is set as the sum of the energies of an isolated CO and an isolated Pt chain (with the same  $N_{\text{Pt}}$ and  $d_{\text{Pt-Pt}} = d_{\text{chain}}$ ). This energy has been calculated also for some values of  $d_{\text{Pt-Pt}} \ge d_{\text{chain}}$  (triangles) and at a large enough  $d_{\text{Pt-Pt}}$  it equals  $E_{\text{break}}$ . The energy gain of placing substitutionally the CO at an already broken Pt-Pt bond is then given by  $E_{\text{subs}}$  and is comparable to the  $E_{\text{chem}}$  of CO at the bridge site of an unbroken wire.

rations: the on-top geometry with all Pt atoms equally spaced by  $d_{\text{chain}}$ , the bridge geometry at  $d_{\text{Pt-Pt}} = d_{\text{chain}}$ , and the substitutional geometry at the optimal  $d_{\text{Pt-Pt}}$ . For the bridge and substitutional GGA cases, the values of *E*chem reported in the table correspond to the energy of the bridge and substitu-tional (subs)–carbon curves in Fig. [2,](#page-2-0) at the values of  $d_{\text{Pt-Pt}}$ pointed by the arrows.

If the bond is not stretched  $(d_{\text{Pt-Pt}} \approx d_{\text{chain}})$ , the bridge configuration is favored with respect to the on-top position, the adsorption energy being about 1 eV smaller for the on-top configuration within both LDA and GGA. The energy of the bridge as a function of  $d_{\text{Pt-Pt}}$  has a minimum at a distance slightly longer than  $d_{chain}$  ( $d_{Pt-Pt}=2.56$  Å in the GGA and  $d_{\text{Pt-Pt}} = 2.50$  Å in the LDA, not shown here). The substitutional geometry has an energy minimum at a much longer distance  $(d_{\text{Pt-Pt}}= 5.24$  Å with GGA and  $d_{\text{Pt-Pt}}= 5.05$  Å with LDA). In this hyperstretched configuration the substitutional geometry is favored with respect to the bridge configuration. However, here a tilted bridge configuration, where the CO axis lies on the *xz* plane but is in not aligned with the *x* axis or with the *z* axis, still has an energy slightly lower than the substitutional minimum. While this tilted configuration does not reveal an energy minimum with respect to  $d_{\text{Pt-Pt}}$ , it is anyway preferred to the bridge and substitutional configurations at intermediate distances (about 3.7  $\text{Å} \leq d_{\text{Pt-Pt}} \leq 5.3 \text{ Å}$ with GGA and 3.8  $\text{Å} \leq d_{\text{Pt-Pt}} \leq 5.1$  Å with LDA).

Although assessing the correct binding energies of CO on close-packed Pt surfaces, such as the  $Pt(111)$  surface and its vicinals, can be difficult in DFT with many of the commonly used exchange and correlation functionals, potentially resulting in a wrong predicted site preference, $41$  in the nanowire case the calculated energy difference between bridge and top sites (about 1 eV) is sensibly larger than the potentialenergy corrugation of that problematic surface case  $(-0.1 - 0.2 \text{ eV})$ . Moreover, experimentally CO has been

<span id="page-3-0"></span>TABLE I. Relaxed distances (in  $\hat{A}$ ) and chemisorption energies (in eV) for the on-top and bridge geometries at  $d_{\text{Pt-Pt}} = d_{\text{chain}}$  and for the substitutional geometry at the optimum  $d_{\text{Pr-Pr}}$ . In the substitutional case, the energy cost for breaking the wire (calculated as shown in Fig. [2](#page-2-0)) is also shown.

	On top			<b>Bridge</b>			Substitutional				
	$d_{\text{Pt-C}}$	$a_{C-O}$	$E_{\text{chem}}$	$d_{\text{Pt-C}}$	$d_{C-O}$	$E_{chem}$	$d_{\text{Pt-C}}$	$d_{C-O}$	$d_{\text{O-Pt}}$	$E_{\text{chem}}$	$E_{\text{break}}$
<b>SR-LDA</b>	1.82	1.14	$-1.9$	1.95	1.16	$-2.9$	1.82	1.17	2.05	$-0.5$	2.5
<b>FR-LDA</b>	1.81	1.14	$-2.0$	1.95	1.16	$-3.0$	1.82	1.17	2.06	$-0.6$	2.4
SR-GGA	1.84	1.15	$-1.4$	1.98	1.17	$-2.3$	1.85	1.17	2.22	$-0.2$	1.9

shown to bind to the bridge site of Pt nanowires formed by Pt dimers deposited on a  $Ge(001)$  surface,<sup>42</sup> thus partially supporting our prediction for the unsupported nanowire.

In Table [I](#page-3-0) we also report the energy cost for breaking a Pt-Pt bond. It is on the order of 1.9 eV within GGA (as can be additionally inferred from Fig. [2](#page-2-0)) and about 2.4 eV within LDA. Note that the chemisorption energy of substitutional CO on a broken wire (indicated by  $E_{\text{subs}}$  in the same figure) is comparable to the chemisorption energy in the bridge configuration. Moreover, as can be seen from Fig. [2](#page-2-0) by comparing the two curves subs-carbon and subs-oxygen at a fixed  $d_{\text{Pt-Pt}}$ , adsorption on the C side is much more favorable than adsorption on the O side filled diamonds and empty diamonds, respectively), a standard and chemically obvious finding. $43$ 

In all geometries the optimized C-O bond distance is slightly longer than the value  $d_{\text{CO,eq}}$  calculated for the isolated molecule  $(d_{CO,eq}=1.13 \text{ Å}$  and  $d_{CO,eq}=1.14 \text{ Å}$  within the LDA and GGA, respectively). The calculated optimal distance between the C atom and the nearest-neighbor Pt atoms,  $d_{\text{Pt-C}}$ , is about 1.95 Å (1.98 Å) in the bridge geometry within LDA (GGA). It is longer than the C-Pt distance found in the on-top geometry,  $d_{\text{Pt-C}} = 1.82 \text{ Å}$  (1.85 Å). This increase in  $d_{\text{Pt-C}}$  with the coordination number of C is in agreement with experimental and theoretical values found for CO adsorbed on  $Pt(111)$  surfaces. For instance, lowenergy electron-diffraction (LEED) experiments report  $d_{\text{Pt-C}}$  $\approx$  1.85 Å for atop CO and  $d_{\text{Pt-C}} \approx$  2.08 Å for CO at the bridge site and DFT calculations at the GGA level are quite close to these values (see Ref. [44](#page-10-37) and references therein). In the substitutional case  $d_{\text{Pt-C}}$  is quite similar to the on-top case, while the C-O bond is slightly longer than in the other two geometries. Finally, we note that in LDA, bonding distances and chemisorption energies do not change significantly in the presence of SO coupling. This result is in line with the general observation, supported by results in Sec. IV, that while Fermi-level-related properties including transport may be heavily and directly influenced by SO coupling through band splittings, the overall energetics, involving charge distributions obtained by integration over the complex of these bands rather than just those at  $E_F$ , is much less altered by SO.

# **IV. ELECTRONIC STRUCTURE**

In this section we present the electronic structures of the bridge and substitutional geometries (calculated within

LDA) for the specific configurations pointed by the arrows in Fig. [2](#page-2-0)  $(d_{Pt-Pt}=d_{chain}=2.34$  Å and  $d_{Pt-Pt}=5.05$  Å, respectively). The substitutional geometry preserves the rotational symmetry of the infinite nanowire. This allows a classification of SR (FR) states according to the quantum number *m*  $(m_j)$ —the projection of the orbital (total) angular momentum along the wire axis. In the bridge geometry, where the symmetry point group reduces to  $C_{2\nu}$ , this is no longer the case. The  $C_{2v}$  symmetry group contains the elements illustrated in Fig. [1](#page-1-0) (the identity *E*; the rotation of  $\pi$  radians about the *x* axis,  $C_{2x}$ ; and the mirror symmetries  $\sigma_1$  and  $\sigma_2$ ), but it has no rotation along *z*. We analyze the PDOS projected onto the atomic orbitals of C, of O, and of the Pt atoms in contact with CO, separated according to their symmetry. A similar analysis for the on-top geometry is reported in Refs. [25](#page-10-18) and [26.](#page-10-19)

#### **A. CO adsorbed on the bridge site**

We start by discussing the SR electronic structure of the Pt nanowire with CO adsorbed at the bridge site when all Pt atoms are equally spaced. For arbitrary  $k_z$  the small group of  $k_z$  is  $C_s = \{E, \sigma_1\}$ . Thus, we can divide the states into "even" and "odd" with respect to the  $xz$  mirror plane  $(\sigma_1$  symmetry in Fig.  $1$ ).

We report in Fig. [3](#page-4-0) the electronic PDOSs projected on the *even* atomic orbitals of C and O and on the even atomic orbitals of a Pt atom in contact with CO. The PDOSs are decomposed into the projections on the  $s$ ,  $p_x$ , or  $p_z$  orbitals of C and O and into those on the *s*,  $d_{3z^2-z^2}$ ,  $d_{xz}$ , or  $d_{x^2-y^2}$  orbitals of Pt. Some of the peaks correspond to the even levels of the molecule. The  $3\sigma$  and  $4\sigma$  molecular states are in the C and O *s* and  $p_x$  PDOSs, at  $-22.5$  eV (not shown) and at  $-10.4$  eV, respectively, while peaks corresponding to the  $1\pi$  and to the  $2\pi^*$  states are at about –6.3 eV and at 3.3 eV in the C and O  $p_z$  PDOSs. The  $4\sigma$  state is slightly hybridized with the even Pt orbitals, while the  $3\sigma$  state is not.

The broadening of molecular features and the presence of several additional peaks indicate a chemical interaction between the molecule and the wire. Interaction between the  $\sigma$ orbitals and Pt states is visible in the projections onto the *s* and  $p_x$  orbitals of C and O from  $-7.5$  up to 3 eV. In two energy regions, at about 2.1 eV and between −7.5 and −6.0 eV, there are several large peaks in the *s* projection and in both the  $s$  and  $p_x$  projections, respectively, whereas at other energies the projections are smaller while not completely vanishing. The peak centered at about 2.1 eV in the

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FIG. 3. (Color online) PDOSs for the SR-LDA bridge configuration. In the upper panel we project onto the even atomic orbitals centered on C (solid red lines) and O (dashed blue lines) atoms. In the lower panel the projections are onto the even atomic orbitals of the Pt atoms below the molecule (solid lines). The PDOSs for the isolated wire are also shown (dashed lines).

PDOS projected onto the C  $s$  orbital is due to the  $5\sigma$  orbital; it shows that there is a depopulation of the HOMO level (donation). These states are antibonding Pt-CO states, while the corresponding bonding states show up as the double-peak feature below  $E_F$ , between about  $-7.5$  and  $-6.0$  eV. Thus, the  $5\sigma$  orbital is broadened and generates a set of occupied bonding states  $5\sigma_b$  below  $E_F$  and a set of empty antibonding states  $5\sigma_a$  above  $E_F$ . Some of the KS-eigenstates associated with these peaks are shown in Fig. [4.](#page-4-1) In this figure we draw a contour plot of the charge density of a  $5\sigma_b$  state at −6.1 eV and of a  $5\sigma_a$  state at 2.1 eV on the *xz* plane (which contains both the molecule and the wire). We note that the interaction brings part of the  $5\sigma$  orbitals below the  $1\pi$  level and that there is an orbital mixing between  $4\sigma$  and  $5\sigma$  levels. As a consequence, the projections of  $5\sigma_b$  states onto the *s* and  $p_x$ orbitals of O are higher than those onto the  $s$  and  $p<sub>x</sub>$  orbitals of C. These are the same features which characterize the absorption of CO on transition-metal surfaces, well de-scribed in the literature (see Ref. [18](#page-10-11)). The hybridization of the  $5\sigma$  orbital is mainly with the  $m=0$  bands of the Pt wire, which have a predominant  $d_{3z^2-r^2}$  character at low energies and an *s* character at energies higher than 0.4 eV. Moreover, a smaller but relevant hybridization of  $5\sigma$  states with  $d_{xz}$ 

<span id="page-4-1"></span>

FIG. 4. (Color online) Two-dimensional contour plots of the charge densities of  $5\sigma_b$  (left) and  $5\sigma_a$  (right) KS-eigenstates calculated at  $k_z$ =0. The dots mark the positions of atoms, while the solid red (dashed blue) lines are positive (negative) isolevels with the following values of density:  $\pm 0.0005$ ,  $\pm 0.001$ ,  $\pm 0.002$ ,  $\pm 0.005$ ,  $\pm 0.01$ ,  $\pm 0.02$ , and  $\pm 0.05$  electrons/(a.u.)<sup>3</sup>.

 $(|m|=1)$  Pt orbitals is present both in  $5\sigma_b$  and in  $5\sigma_a$  states. Broad features and additional peaks due to the hybridization are present also in the  $p_z$  PDOS. Moreover, some hybridization of  $\pi$  levels with Pt states occurs also at −6.3 eV, which is the position of the  $1\pi$  states. The strong peak at about −2 eV and the weaker features above and below −2 eV are due to bonding hybridization between the even  $2\pi^*$  orbital and the Pt states. The presence of these new states, which we may call  $2\pi_b^*$ , shows that the  $2\pi^*$  orbital of CO is partially occupied, corresponding to backdonation. The  $2\pi^*$  level broadens between 3.2 and 3.6 eV and forms the antibonding  $2\pi_a^*$  states. A contour plot of the charge density associated with the  $2\pi^*$ -derived states is shown in Fig. [5,](#page-4-2) a bonding orbital at −2.0 eV on the left and an antibonding orbital at 3.5 eV on the right. The  $2\pi_b^*$  states have a large contribution from oxygen  $p<sub>z</sub>$  orbitals, with very low projec-tions on the carbon atom (see also Fig. [3](#page-4-0)). This is caused by a hybridization between the  $1\pi$  and  $2\pi^*$  orbitals of the molecule due to its interaction with the wire. This has been well characterized for CO adsorbed on metal surfaces.<sup>18</sup> The  $2\pi_b^*$ hybridization at  $-2$  eV involves both  $m=0$  *(s* and  $d_{3z^2-r^2}$ ) and  $|m|=2$  ( $d_{x^2-y^2}$ ) Pt states. Because of this interaction, a relevant portion of the  $d_{x^2-y^2}$  PDOS moves outside the energy range of the wire  $|m|=2$  band. The  $|m|=1$   $(d_{xz})$  states instead give a much smaller contribution at −2 eV and interact in a wider energy range between −4 and −2 eV.

In Fig. [6](#page-5-0) we show the PDOS projected onto the *odd* atomic orbitals. As found in the even  $\pi$  interaction, in the

<span id="page-4-2"></span>

FIG. 5. (Color online) Two-dimensional contour plots of the charge densities of KS-eigenstates (calculated at  $k_z$ =0) corresponding to the bonding (left) and antibonding (right) between even  $2\pi^*$ and even Pt states.

<span id="page-5-0"></span>

FIG. 6. (Color online) PDOSs for the SR-LDA bridge configuration. The projections are onto the odd atomic orbitals centered on C (solid red lines) and O (dashed blue lines) atoms and on the Pt atoms below the molecule (solid line, lower panel). The PDOSs for the isolated wire are shown as dashed lines.

PDOS projected onto the  $p_y$  orbitals of C and O, two peaks correspond to the odd  $1\pi$  molecular level at −6 eV and to the odd  $2\pi_a^*$  state at 2.8 eV, while additional peaks are caused by interaction. Here we find two new peaks below  $E_F$ , one at −4.3 eV and the other at −2.2 eV. They correspond to the odd  $2\pi_b^*$  levels and their splitting reflects the different hybridization of the  $2\pi^*$  orbital with distinct bands of the wire. The peak at −4.3 eV is present only in the PDOS projected onto  $d_{vz}$ , while the peak at  $-2.2$  eV is essentially due to a hybridization with the  $|m|=2$  band, since the corresponding  $d_{xy}$  peak is much stronger than the one in the  $d_{vz}$  PDOS. As noticed in the even PDOS, this strong interaction brings some charge in the  $2\pi^*$  molecular state and perturbs the PDOS of the wire, especially the  $|m|=2$ component  $(d_{xy}$  in the odd case), where a lot of states are now outside the energy range of the clean wire  $|m|=2$  band.

With addition of SO in the FR case, this donation/ backdonation picture does not change. Now states fall into the two irreducible representations  $\overline{\Gamma}^3$  and  $\Gamma^4$  of the double group  $C_s^D$ , and it is not possible to separate even and odd states. Since in the absence of magnetization time-reversal symmetry holds, there is a (Kramers) degeneracy between the  $\Gamma^3$  band at  $k_z$  and the  $\Gamma^4$  band at  $-k_z$ . Therefore, we can analyze the CO-Pt nanowire system by focusing on bands belonging to one of the two symmetries, such as, for instance, the  $\Gamma^3$  bands.<sup>45</sup> In C or in O there are four FR atomic orbitals that transform according to  $\Gamma^3$ , one derived from the *s* (*l*=0) state, with  $(j, j_y) = (1/2, 1/2)$  and three derived from the *p* (*l*=1) states, with  $(j, j_y) = (1/2, -1/2), (3/2, -1/2),$  and  $(3/2, 3/2).$ 

In Fig. [7](#page-5-1) we show the PDOSs projected onto these four  $\Gamma^3$ atomic orbitals. The molecular levels can be easily identified. At lower energies two sharp peaks are at −22.4 eV (not shown) and at -10.5 eV in all the four PDOSs; they correspond to the  $3\sigma$  and  $4\sigma$  SR states, respectively. Two other peaks are close together  $(-6.3 \text{ and } -6.0 \text{ eV})$  and are both present in the PDOS projected onto the two states with *l*= 1 and  $j_y = -1/2$ , but only the low-energy peak is evident in the PDOS projected onto the  $j_y = 3/2$  state. The position of these

<span id="page-5-1"></span>

FIG. 7. (Color online) PDOSs for the FR-LDA bridge configuration (wire along *y*; see text). The projections are onto the  $\Gamma^3$ symmetry FR atomic orbitals of C (solid red lines) and O (dashed blue lines).

two peaks coincides with the even and odd  $1\pi$  states observed in the SR PDOS. The odd  $1\pi$  state  $(-6.0 \text{ eV})$  has very low projection onto the  $j_y=3/2$  state since the former is oriented along the *xy* direction, while the latter is made up of  $m=1$  orbitals, which are oriented in the *xz* plane (the quantization axis is *y*). The broad feature between  $-7.3$  and −6.2 eV, which is present in all four PDOSs, can be distinguished from the neighboring  $1\pi$  peaks since it has much more weight on the O atom rather than on the C atom, similar to the  $5\sigma_b$  SR states (see PDOS in Fig. [3](#page-4-0)). Therefore, we can identify this feature as the FR analog of the  $5\sigma_b$  states, which are in the same energy range in the PDOSs projected onto the *s* and  $p_x$  orbitals. Above the Fermi energy, we can identify the empty  $5\sigma_a$  antibonding states which give rise to the broad peak at about 2 eV in the  $j_v = 1/2$  PDOS.

In the energy range between −4.3 and −1.1 eV, we find some features which are more evident in the *l*= 1 components of the PDOS and have very low weight in the C orbitals. In the SR case the energy range of the  $2\pi_b^*$  states goes from  $-4.4$  eV (lowest peak in the  $p_y$  PDOS in Fig. [6](#page-5-0)) to about  $-1$  eV (tail of the even  $2\pi^*$  peak in the  $p_z$  PDOS in Fig. [3](#page-4-0)). These states have very low projections onto the carbon; thus, we can identify these features in the FR PDOS as the FR analog of those states. The corresponding even and odd  $2\pi^*$ antibonding states are responsible for the peaks at 3.2 and 2.8 eV, respectively. Although their splitting is too small to be resolved in a single PDOS with this value of the smearing, only the even peak (such as the even  $1\pi$ ) is evident in the  $j_v=3/2$  PDOS, while both are present in the  $l=1$ ,  $j_v=$ −1/2 PDOS and both have much smaller weight in the *l*= 0 component. We can therefore conclude that although SO changes the symmetry of the orbitals, the mechanism of donation and backdonation still describes well the bonding between the molecule and the wire.

#### **B. Substitutional CO**

In the substitutional configuration (see Fig. [1](#page-1-0)), the rotational symmetry is preserved; hence SR states with different

<span id="page-6-0"></span>

FIG. 8. (Color online) PDOSs for the SR-LDA substitutional configuration. In the central panel the projections are onto  $m=0$ atomic orbitals centered on C (solid red lines) and O (dashed blue lines) atoms. In the top (bottom) panel the projections onto  $m=0$ atomic orbitals of the Pt atom next to the C atom (O atom) are indicated as solid lines, while the corresponding PDOSs of the isolated wire are superimposed with dashed lines.

*m* cannot hybridize. Therefore we can study the interaction by focusing on the PDOS projected onto  $m=0$  and  $|m|=1$ orbitals only (Figs. [8](#page-6-0) and [9,](#page-6-1) respectively), disregarding  $|m|$ = 2 states that are not present in the molecular levels of CO. The  $4\sigma$  and  $5\sigma$  molecular levels give rise to two peaks at −11.0 and −8.5 eV in the C and O *s* and *pz* PDOSs. As in the bridge configuration, the  $5\sigma_b$  level is lower than the

<span id="page-6-1"></span>

FIG. 9. (Color online) PDOSs for the same system as that in Fig. [8,](#page-6-0) but here the projections are onto  $|m|=1$  atomic orbitals.

 $1\pi$ -derived level (see below). We do not find here isolated peaks above  $E_F$  which correspond to the antibonding  $5\sigma_a$ states but rather an almost flat plateau which extends in the whole energy range of the  $m=0$  bands of Pt. The hybridizations between the  $5\sigma$  CO orbital and the Pt states are different on the two sides of the molecule, as can be seen by comparing the PDOSs projected onto the *m*= 0 orbitals centered on the two opposite Pt atoms. At  $-8.5$  eV the  $5\sigma$  CO orbital is more coupled to the Pt on the C side (especially via  $d_{3z^2-r^2}$  states). This causes a higher depopulation of  $m=0$ states between −7.3 and −2 eV on the Pt next to the C atom with respect to the Pt on the O side.

The PDOSs projected onto the  $|m|=1$  ( $p_x$  or  $p_y$ ) orbitals of C and O are reported in Fig. [9](#page-6-1) and show two peaks due to the  $\pi$  molecular states, one at –6.8 eV  $(1\pi)$  and another at 2.8  $eV(2\pi^*)$ . However, in contrast with the bridge case, instead of new intense peaks below the Fermi energy, we find in the substitutional case a plateau which spans the whole energy range of the Pt wire  $|m|=1$  band.

Although the hybridization with Pt states is different from that in the bridge geometry, donation/backdonation is present here too. In fact a portion of the plateau in the PDOS on *m* =0 states extends above  $E_F$ , while the plateau on the  $|m|=1$ PDOS lies mainly below  $E_F$ . In order to have an estimate of the donation, we can consider the integral (from  $-\infty$  to  $E_F$ ) of the PDOS on the C and O orbitals forming  $\sigma$  states in the molecule *s* plus  $p_x$  in the bridge geometry; *s* plus  $p_z$  in the substitutional one). This integral gives 5.3 in both the substitutional and the bridge geometries, while in the isolated molecule it gives about 5.9. Estimating in the same way the amount of backdonation, by integrating the PDOS on the orbitals which form the  $\pi$  states  $(p_z)$  plus  $p_y$  in the bridge geometry;  $p_x$  plus  $p_y$  in the substitutional one), we find 4.7 electrons both in the substitutional and in the bridge geometries, to be compared to the value of 3.9 obtained with the isolated CO.

In the FR case we can label states according to the total angular momentum  $m_j$ , and hybridization occurs only among states with the same  $m_i$ . In Fig. [10](#page-7-0) we report the PDOSs projected onto the FR atomic orbitals of C and O separated according to the values of  $l$  and  $m_j$  of the spin-angle function. The peaks in these figures can be easily identified and their positions compared with that of the SR case. In addition to the molecular  $\sigma$  levels present only in the PDOS on the  $|m_j|=1/2$  states at  $-23.7$  (not shown),  $-11.2$ , and  $-8.6$  eV, there are two peaks in the  $l=1$ ,  $|m_i|=1/2$  PDOS, one at −7 eV and another at 2.4 eV. They can be matched with the two peaks at  $-6.9$  and 2.8 eV in the  $l=1$ ,  $|m_j|=3/2$  PDOS; they correspond to the SO split  $1\pi$  and  $2\pi^*$  states of the molecule. With respect to the isolated molecule, the 1/2–3/2 splitting of the  $\pi$  states is enhanced by the interaction with the Pt states, especially for the  $2\pi^*$  states.

As in the SR case, the interaction between the molecule and the wire is visible here as a plateau which extends in a wide energy range. In the PDOS projected onto the  $l=0$ ,  $|m_i|=1/2$  states, the plateau extends between −7 and 3 eV and is due to the hybridization between the  $5\sigma$  orbital with the  $|m_i|=1/2$  bands of the Pt nanowire. The small peak at about −3.3 eV and the gap just above it are due to an anticrossing of the  $|m_j|=1/2$  bands (see Ref. [21](#page-10-14)), which gener-

<span id="page-7-0"></span>

FIG. 10. (Color online) PDOSs for the FR-LDA substitutional configuration. The projections are onto the  $|m_i|=1/2$  and  $|m_i|$  $= 3/2$  FR atomic orbitals of C (solid red lines) and O (dashed blue lines). In the  $l=1$ ,  $|m_j|=1/2$  case, the PDOSs projected onto states of different  $j^2$  have been added.

ates new peaks and gaps in the FR-DOS of the Pt nanowire. In the PDOS projected onto the  $l=1$ ,  $|m_j|=3/2$  states, there is a plateau between −4.2 and 0.8 eV due to the hybridization between  $\pi$ -derived states and  $|m_i|=3/2$  states of Pt. This plateau is localized in the same energy range as that of the SR  $\pi$ - $|m|=1$  bands, slightly increased by the spin-orbit splitting of the  $|m|=1$  band. A hybridization gap of the  $|m_i|$ = 3/2 band of Pt is visible just above −2 eV. In the PDOS projected onto  $l=1$ ,  $|m_i|=1/2$  states, we find contributions from both  $\sigma$ -derived and  $\pi$ -derived states. In summary, also in the substitutional geometry we can conclude that the donation/backdonation model gives a good description of both the SR and the FR electronic structures. Near the Fermi level the changes caused by SO are not large in extent but are still quite visible in the electronic structure. This will reflect on the ballistic transport properties, which are discussed in Sec. V.

## **V. BALLISTIC CONDUCTANCE**

In this section we present the transmission of an infinite ideal platinum chain as a function of energy for the two CO adsorption geometries discussed above, purposely without tips but with the adsorbed molecule as the sole scatterer. This idealized transmission measures the amount of obstacle posed by the molecule to electron free propagation. In the real system there is, in addition, a molecule-independent scattering caused by the tip-wire contacts—which as was said are left out here.

The transmission is calculated with the method developed in Refs. [21,](#page-10-14) [37,](#page-10-30) and [46,](#page-10-39) where the self-consistent potentials for the left lead, for the scattering region, and for the right lead are calculated using the geometries shown in Fig. [1.](#page-1-0) The self-consistent potential of one unit cell of the Pt chain in the leftmost part of the supercell is used to calculate the generalized Bloch states of the left and right leads, while the rest of the supercell is the scattering region. We checked that the generalized Bloch bands with real wave vector of the Pt chain calculated with this potential perturbed by CO match

<span id="page-7-1"></span>

FIG. 11. (Color online) Electron transmissions for the bridge geometry. In the top panel the SR (FR) transmission  $T_{SR}$  ( $T_{FR}$ ) is displayed as a solid line (green circles), while the number of channels  $N_{SR}$  ( $N_{FR}$ ), which equals the transmission of an ideal monatomic chain, is shown as a dashed line (short-dashed green line). In the bottom panel we separate the even and odd components of the SR transmission,  $T_{\text{even}}$  and  $T_{\text{odd}}$  (above and below, respectively), as well as the numbers of even and odd channels,  $N_{\text{even}}$  and  $N_{\text{odd}}$ .

those calculated with the exact potential within 0.05 eV.

The SR transmission  $T_{SR}$  as a function of energy for the bridge geometry is shown in the upper panel of Fig. [11.](#page-7-1) In the same plot we display also the FR transmission  $T_{FR}$  for selected energies and the number of channels available for transport  $(N_{SR}$  in the SR case and  $N_{FR}$  in the FR case). At the Fermi level four (spin-degenerate) SR channels are available, three even and one odd. The  $|m|=2$  bands do not cross  $E_F$ ; hence they do not contribute to the conductance. The odd  $|m|=1$  band is almost perfectly transmitted, while the even  $|m|=1$  band and the two  $m=0$  bands are partially reflected. The resulting conductance is  $G_{SR} = 6.6e^2/h$ , to be compared with the value  $8e^2/h$  of the clean wire. The FR conductance,  $G_{FR} = 6.5e^2/h$ , is quite close to the SR value because, although the SO splitting of the  $|m|=2$  bands brings one twofold-degenerate channel (with  $|m_j|=5/2$ ) close to  $E_F$ . This additional channel is poorly transmitted (see later) and does not modify the conductance. These values of conductance are close to those found in the on-top geometry: *G*  $= 6.1e^2/h$  in both SR and FR calculations.

The energy-dependent transmission shows instead more pronounced differences between SR and FR results. In the SR case we can separate the contributions of the even and of the odd states (central panel and bottom panel of Fig. [11,](#page-7-1) respectively), while in the FR case states belonging to the  $\Gamma_3$ or to the  $\Gamma_4$  representations have the same transmission. We discuss first the *even* contribution to the transmission, trying to establish a connection with the features in the PDOS projected on the corresponding atomic orbitals in Fig. [3](#page-4-0) in Sec. IV A. Below −4.3 eV there is only one *m*= 0 channel which is almost perfectly transmitted above −5.5 eV, while it is partially reflected at lower energies and totally reflected at −6.4 eV. This happens both in the SR and in the FR cases. We note that this energy corresponds to the position of the even  $1\pi$  states, which are rather localized on the CO and on the two neighboring Pt atoms and are responsible for the large mismatch between the *m*= 0 PDOS of those two Pt atoms and the corresponding PDOS of a Pt atom distant from CO. (Compare the solid and dashed lines in the *s* and  $d_{3z-1}$ <sup>2</sup> PDOSs in Fig. [3.](#page-4-0)) At higher energies, where in principle a new even channel (with  $|m|=1$ ) becomes available, the even contribution to the transmission grows significantly only after −3.3 eV, while below this energy only the *m*= 0 channel is transmitted. Actually, in the energy region between −4.2 and  $-2.1$  eV, the even  $|m|=1$  states of the wire are involved in the hybridization with the  $2\pi^*$  molecular orbital and therefore they do not transmit. Compare the PDOS projected onto the  $d_{xz}$  orbital with that projected onto  $d_{3z^2-r^2}$  in Fig. [3:](#page-4-0) In this energy range, the matching with the PDOS of the Pt distant from CO is better in the case of  $d_{3z^2-r^2}$  orbitals rather than in that of  $d_{xz}$  orbitals.)

Above −2 eV the  $2\pi^*$  hybridization has more weight on the  $m=0$  states of Pt than on  $|m|=1$  states. Hence, the rise of transmission between −2 and −1.8 eV has to be ascribed to a better transmission of the  $|m|=1$  channel, rather than to the additional  $m=0$  channel that is present from  $-1.8$  eV onward. Actually, both  $m=0$  channels transmit only partially in this region, and together they contribute just for about onehalf the value of  $T_{\text{even}}$ . Between −1.6 and −0.4 eV also the even  $|m|=2$  channel becomes available, but the transmission grows only slightly and remains much smaller then the number of available channels. The bad transmission of  $|m|=2$ states can be easily related to the large mismatch between the *d<sub>x</sub>*<sup>2</sup>−*y*<sup>2</sup> PDOS of the two Pt atoms next to the molecule and that of a Pt atom distant from CO. Between −1.7 and −1.5 eV there is also a large difference between SR and FR transmission since the anticrossing of the 1/2 and 3/2 bands removes several channels from that energy region decreasing the FR transmission. Above  $-0.4$  eV the  $|m|=2$  channel is not available anymore; we find a small drop in transmission, which then remains almost constant up to  $E_F$ . From  $E_F$  up to 0.8 eV the transmission decreases regularly, since first the  $|m|=1$  channel (at 0.4 eV) and then one of the two  $m=0$ channels (at 0.8 eV) disappear. Above 0.8 eV only the *m* = 0 channel with a predominant *s* character is present: Its transmission vanishes completely at 2.1 eV and it shows a dip about that energy. This energy value coincides with the position of the  $5\sigma_a$  states (see the PDOSs projected onto the s orbitals in Fig. [3](#page-4-0)). This dip is present also in the FR transmission although it is slightly shifted toward lower energies.

The odd channels are available and can contribute to the transmission between  $-4.3$  and 0.8 eV. The  $|m|=1$  channel has good transmission in the whole energy range except for two narrow dips near −2 eV. The lowest dip corresponds to the odd  $2\pi_b^{\star}$  peak present at that energy, while the position of the highest dip can be matched with the energy of a strong peak in the PDOS projected onto the  $d_{xy}$  states of Pt (which does not correspond to any peak in the PDOS of C or O). As for the even  $|m|=2$  channel, the odd  $|m|=2$  channel is also almost blocked because the corresponding Pt states are more perturbed by the interaction with the  $2\pi^*$  orbitals of CO. This difference in the transmission properties between  $|m|$  $= 1$  and  $|m| = 2$  odd channels could be predicted by the PDOS

<span id="page-8-0"></span>

FIG. 12. (Color online) Electron transmissions for the substitutional geometry. In the top panel the SR  $(FR)$  transmission  $T_{SR}$  $(T_{FR})$  is displayed as a solid line (green circles), while the number of channels  $N_{SR}$  ( $N_{FR}$ ) is shown as a dashed lines (short-dashed green line). In the middle (bottom) panel we separate the SR (FR) transmission and number of channels according to the angular momentum  $|m|$  ( $|m_j|$ ). The contribution from  $|m|=2$  ( $|m_j|=5/2$ ) channels to the total SR (FR) transmission is practically zero; hence it is not shown (see text).

reported in Fig. [6.](#page-5-0) In fact, the *dyz* PDOS looks similar to that of a Pt atom of the pristine nanowire, while the  $d_{xy}$  PDOS does not match at all the original PDOS of the wire.

A feature similar to the dip at 2.1 eV has already been observed in the transmission curve calculated for gold nano-wires with CO absorbed on-top.<sup>47[,48](#page-10-41)</sup> However, in that case the dip is closer to the Fermi energy. This causes a drop in the predicted conductance of the Au nanowire when CO is adsorbed because in that case, the 6*s* state is the only conducting channel left at  $E_F$ . Instead, in the pristine Pt nanowire three more channels are available for conduction, and the dip in the  $m=0$  transmission caused by CO adsorption lies above the Fermi level.

The SR and FR total transmissions as a function of energy for the substitutional geometry are shown in the top panel of Fig. [12.](#page-8-0) In this geometry we find a conductance of about  $2.2e^{2}/h$ , much smaller than in the bridge geometry. Actually at the Fermi level the transmission of the two degenerate  $|m|=1$  channels is about one-half and that of the  $m=0$  channels is quite small (slightly above 0.1). In the other energy regions the transmission is even lower, since the  $m=0$  channels are almost totally blocked and the transmission of the  $|m|=1$  channels remains always below one-half. The  $5\sigma$ -derived states could in principle transmit because they hybridize with Pt states. However, actually this is not the case since the coupling of the left Pt to CO is quite different from that of right Pt, as noted before in Sec. IV B. Moreover, the transmission due to the  $|m|=2$  bands of Pt (not shown here) is close to zero, since the CO has no states with matching symmetry.

Thus, the SR and FR calculations give similar results also for the substitutional geometry. The calculated FR value of the conductance is  $1.7e^2/h$ , to be compared with *G*  $= 6.5e^2/h$  for the upright bridge. In the substitutional case the contributions from the  $|m_i|=1/2$  and the  $|m_i|=3/2$  channels are almost equal, in agreement with the fact that in the SR case only the  $|m|=1$  channels contribute to transmission.

We can pinpoint some dips specific to the FR energydependent transmission, which are due to the SO-induced splittings of the bands. They are evident if we look separately at the  $|m_i|=1/2$  and  $|m_i|=3/2$  contributions to the total number of available channels: The former goes to zero between −3.4 and −2.5 eV, while the latter vanishes between  $-2.2$  and  $-1.4$  eV. The  $|m_i|=5/2$  contribution to the transmission (not shown) is almost zero, since the  $\vert m_j \vert = 5/2$  channels have  $|m|=2$  orbital components that are completely blocked by the CO. It should be pointed out here that the details of all channels will be modified by the onset of magnetism; $23,49$  $23,49$  this could in principle modify the ballistic conductance of our system. This aspect, however, is beyond the scope of this work.

Although as was said above, our conductance values cannot be directly compared with experimental data because they do not include the effect of the tips, they do indicate that in the substitutional configuration the conductance is about three times lower than in the upright bridge configuration,  $15$ where, differently from the substitutional configuration, the *m*= 0 channels are not so strongly reflected. The Pt states with angular momentum  $|m|=2$  are (more or less strongly) blocked in both cases but for different reasons: In the bridge geometry they interact more with CO and this perturbs a lot the  $|m|=2$  PDOS on the neighboring Pt. In the substitutional geometry there is no CO orbital with the matching symmetry; thus, the  $|m|=2$  channel is totally blocked by the molecule. Anyway, since these states fall below the Fermi level, their interaction with the molecule does not influence the conductance. The FR conductance does not differ substantially from the SR value because the  $|m_i|=5/2$  channels which could in principle give rise to an increased conductance, since the corresponding FR band approaches the Fermi level) are almost completely blocked both in the upright bridge and in the substitutional cases and because there are no SO-induced gaps near the Fermi level.

## **VI. CONCLUSIONS**

We have explored the bonding mechanism of a CO molecule onto a Pt monatomic nanowire. We found that in the

bridge (and also in the on-top<sup>25[,26](#page-10-19)</sup>) configuration, the Blyholder model is appropriate for describing the electronic structure and the molecule-wire bonding. The HOMO and LUMO are mainly involved in the bonding and strongly hybridize with Pt states forming bonding/antibonding states. As a consequence, from the  $5\sigma$  molecular orbital some charge transfers to the wire, which in turn backdonates some of the charge, partially filling the  $2\pi^*$  orbitals of the molecule. The substitutional geometry has quite a high energy; hence it could be a realistic configuration only when the wire is under high strain and almost broken. Also in this case there occurs a hybridization between the Pt states and the CO molecular orbitals, which gives rise to a donation/backdonation process. We showed that the inclusion of SO coupling, which strongly modifies the electronic band structure of the Pt wire, does not change very much the interaction mechanism and strength between the CO molecule and the wire.

The adsorption of CO on bridge (and also on-top) geometry should not affect much the chain ballistic conductance, since the Pt states mainly involved in the interaction with CO—and therefore partially or totally reflected—are located mainly below or above the Fermi level. In the substitutional geometry instead the molecule can partially transmit only  $|m|=1$  states, while all other channels are blocked.

Our findings confirm the speculation by Kiguchi *et al.*[9](#page-10-6) based on the measured conductances of transition-metal nanocontacts, namely, that the Blyholder model rules the adsorption strength of CO. Regarding the transport properties, our results agree with those of Strange *et al.*[15](#page-10-8) in predicting that a considerable reduction in the ballistic conductance can be obtained when CO goes substitutional and that the main conducting channel comes from a Pt  $|m|=1-2\pi^{*}$  hybridization (while  $m=0$  states should not be conducting). Unlike in previous calculations, we carefully examined SO effects on the intrinsic (tipless) conductance and find that for the specific case of the nonmagnetic Pt nanocontact with an adsorbed CO molecule, a treatment of the electronic structure at the SR level was basically adequate to catch the effects on the conductance when bonding CO to the nanowire.

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tively. The core radii for all channels are  $(1.3, 1.6)$  and  $(1.4, 1.6)$ in the C and O PPs, respectively. The 3*d* channel potential was treated as local with core radii of  $(1.3)$  (C) and  $(1.4)$  (O). When two core radii are specified, that potential was pseudized in the US scheme, with the first radius representing the normconserving core radius and the second the US one.

- <span id="page-10-28"></span>35The all-electron configurations are the same used in the SR-LDA case. The core radii of Pt are  $(1.8, 2.2)$  for the 5*d* orbitals,  $(2.6)$ for the 6*p* orbitals, and (2.4) for the 6*s* orbitals. For the 2*s* orbitals of C the core radius is  $(1.4, 1.6)$ , while for all the other channels we used the same core radii of the LDA PP. The non-linear core correction (Ref. [50](#page-10-43)) has been included in all the PPs.
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- <span id="page-10-33"></span><sup>40</sup> In the structural optimizations we require that each component of the force on the C and O atoms gets lower than 1 mRy/a.u. In the bridge and on-top geometries the C and O atoms are constrained to move on the axis perpendicular to the wire  $(x \text{ axis})$ , while in the substitutional case we keep them aligned with the wire (hence on the  $z$  axis). In the tilted bridge configuration, the C and O atoms can move on the *xz* plane.
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